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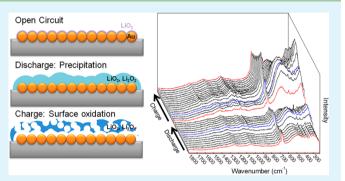
# Operando Observation of the Gold–Electrolyte Interface in $Li-O_2$ **Batteries**

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Supporting Information

**ABSTRACT:** Observing the cathode interface in  $Li-O_2$ batteries during cycling is necessary to improve our understanding of discharge product formation and evolution in practical cells. In this work a gold electrode surface is monitored by operando surface-enhanced Raman spectroscopy during typical discharge and charge cycling. During discharge, we observe the precipitation of stable and reversible lithium superoxide  $(LiO_2)$ , in contrast to reports that suggest it is a mere intermediate in the formation of lithium peroxide  $(Li_2O_2)$ . Some  $LiO_2$  is further reduced to  $Li_2O_2$  producing a coating of insulating discharge products that renders the gold electrode inactive. Upon charging, a superficial layer of these



species (~1 nm) are preferentially oxidized at low overpotentials (<0.6 V), leaving residual products in poor contact with the electrode surface. In situ electrochemical impedance spectroscopy is also used to distinguish between LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> products using frequency-dependent responses and to correlate their reduction and oxidation potentials to the accepted mechanism of Li<sub>2</sub>O<sub>2</sub> formation. These operando and in situ studies of the oxygen electrode interface, coupled with ex situ characterization, illustrate that the composition of discharge products and their proximity to the catalytic surface are important factors in the reversibility of Li-O2 cells.

KEYWORDS: lithium-oxygen, lithium-air, Li<sub>2</sub>O<sub>2</sub>, LiO<sub>2</sub>, SERS, Raman spectroscopy, electrochemical impedance spectroscopy

# INTRODUCTION

The lithium-oxygen battery is an emerging energy storage system promising up to ten times the theoretical energy density of standard lithium-ion cells,  $^{1-4}$  yet few studies have been able to demonstrate why this theoretical prediction has not been realized. Cell degradation mechanisms have been suggested for systems of differing electrolytes and electrode materials ranging from the formation of irreversible side products,<sup>5-8</sup> to poor kinetics,<sup>9,10</sup> to poor electrical conductivity of the discharge products.<sup>11–14</sup> Recently, several studies have observed the impact of cycling rate on the morphology, stoichiometry, and reversibility of the discharge products.<sup>15–19</sup> To obtain insight into the reduction and oxidation processes, especially at the oxygen electrode surface, it is necessary to perform in situ studies.

While several studies have examined the electrochemical phenomena in situ at the electrode interface using transmission electron microscopy (TEM),<sup>20</sup> atomic force microscopy (AFM),<sup>21,22</sup> X-ray photoelectron spectroscopy (XPS)<sup>23,24</sup> and Raman spectroscopy,<sup>25,26</sup> none can be considered operando,<sup>27</sup> that is utilizing a cell environment and settings that lack compromises for characterization. Compromises common for in situ studies include the use of nonstandard electrolytes (i.e., LiPON and ionic liquids), electrodes preloaded with discharge products, and apparatuses that require an open environment. To date, only differential electrochemical mass spectroscopy

(DEMS) has been applied with an operando methodology in  $\text{Li}-O_2$  cells,  $^{6,28-30}$  but data collection is limited to the monitoring of gaseous products and not the electrode surface.

Catalysts for oxygen reduction and evolution are beginning to be recognized as necessary to lower the reaction over-potentials in  $\text{Li}-O_2$  cells.<sup>31–33</sup> Previous studies have shown that carbon electrodes degrade upon cycling forming poorly reversible side products,<sup>7</sup> but metals such as Au, Pt, Pd, and Ru may catalyze oxygen reduction and evolution with limited side reactions.<sup>30,34–37</sup> The use of carbon-free all-metal electrodes provides the opportunity to precisely study catalyst-electrolyte interfacial phenomena during cycling, as well as reduce overpotentials and improve the cycle life of Li-O<sub>2</sub> cells.<sup>30</sup>

Here we observe the precipitation and evolution of discharge products on an Au electrode surface by combined operando and in situ surface spectroscopy. Surface-enhanced Raman spectroscopy (SERS) provides a relatively facile probe of the electrode interface without significant perturbation to the system.<sup>38-42</sup> Previously, Peng et al. employed in situ Raman spectroscopy with a potentiostatic method focused on establishing a mechanism of product formation and evolution

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over very short time scales, but this study did not look at practical cell phenomena over full cycles.<sup>25</sup> The work presented here shows that with standard galvanostatic cycling, a more practical electrolyte solvent (DMSO vs acetonitrile) and an operando methodology, Raman signals from the electrolyte, discharge products, and the electrode itself can be distinguished to provide insight into product flux, stoichiometry, and proximity to the catalyst surface. In contrast to previous reports which show LiO<sub>2</sub> is an intermediate in the formation of  $Li_2O_2$ , we observe the formation of stable and reversible  $LiO_2$ consistent with reduced overpotentials of cycling. We also show that in situ electrochemical impedance spectroscopy (EIS) can elucidate Li-O2 reaction mechanisms by distinguishing between discharge species and the electrochemical potentials of their formation and evolution. Paired with ex situ morphological characterization, these methods provide a more complete picture of the flux of discharge products on catalyst surfaces and explain that incomplete oxidation due to poor surface contact is a common failure mechanism in these Li-O<sub>2</sub> cells.

#### EXPERIMENTAL SECTION

**Sample Preparation.** Oxygen electrodes were prepared starting from a flattened 12.7 mm diameter Ni foam substrate. Following cleaning by sonication in ethanol and acetone to remove organic residue, Ni foams were reacted with a 2.5 mL solution of 2 mM HAuCl<sub>4</sub> (Sigma-Aldrich) in water by galvanic displacement for 2 h to achieve a 1 mg dense loading of ~75 nm Au particles. Electrodes were removed from the solution, rinsed with DI water thoroughly and dried at 100 °C.

Li–O<sub>2</sub> cells were assembled inside an argon purged glovebox using a specifically designed cell described elsewhere.<sup>9</sup> A piece of 11.1 mm diameter Li foil was used as the counter electrode with a 13 mm diameter Whatman glass fiber separator. The separator was impregnated with approximately 60  $\mu$ L of 0.1 M LiClO<sub>4</sub> (Sigma-Aldrich) in anhydrous DMSO (Alfa Aesar) or TEGDME (Sigma-Aldrich) solvent. Sealed cells were removed from the glovebox, purged several times with dry O<sub>2</sub> and resealed prior to cycling. Karl Fisher titration on the as-purchased anhydrous DMSO verified a water content of <50 ppm.

**Raman Spectroscopy.** The Li– $O_2$  optical cell used for operando SERS had the same structure as cells used for other cycling experiments except for the addition of a 0.4 mm thick sapphire window (Edmund Optics) at the center of the anode current collector. Small holes were cut in the Li foil anode and separator to allow an optical path to the oxygen electrode surface. The in-cell optical path length was ~1 mm. A schematic of the optical cell is provided in Figure S1 of the Supporting Information. Operando Raman spectroscopy was conducted using a Jasco 3100 laser Raman spectrophotometer with a 785 nm excitation wavelength. Spectra were measured over 120 s and averaged over 3 accumulations to improve resolution. Similar settings were employed for ex situ spectroscopy of cycled electrodes.

**Electrochemical Characterization.** A Biologic VSP potentiostat with impedance spectroscopy function was used for EIS measurements and galvanostatic cycling of cells. Impedance measurements were conducted using a staircase sequence from 3.0 to 2.0 V for the discharge followed by a 2 h rest period at open circuit potential and a second staircase sequence from 3.0 to 3.8 V for the charge. Impedance spectra were taken every 50 mV with an amplitude of 50 mV following a 2 min hold period at each potential. Galvanostatic cycling experiments were conducted with a rate of 50  $\mu$ A (~12 mA/cm<sup>2</sup><sub>real</sub>) for the discharge and 20  $\mu$ A for the charge cycle for each electrode with a range of 2.0–3.8 V.

**Physical Characterization.** Ex situ physical characterization of pristine and cycled electrodes was conducted using an FEI Tecnai Osiris 200 kV transmission electron microscope (TEM) and a Hitachi SU-70 scanning electron microscope (SEM) with energy dispersive X-ray spectroscopy (EDS) functions. XRD was also used to evaluate

crystallinity. X-ray photoelectron spectroscopy (XPS) measurements were performed at Brookhaven National Laboratory on a SPECS GmbH instrument under ultrahigh vacuum (UHV) conditions. The X-ray source was Al K $\alpha$  at a power of 300 W. Data were collected for C 1s, O 1s, and Au 4f with a pass energy of 25 eV. The binding energies of Au–Ni foam pristine and Li<sup>+</sup>/DMSO treated Au–Ni foam samples were calibrated to the C 1s photoemission peak of adventitious hydrocarbons at 285.0 eV. The binding energies of discharged and charged samples were calibrated to the C 1s photoemission peak of Li<sub>2</sub>CO<sub>3</sub> at 290.0 eV<sup>24,43</sup> because of the difficulty of distinguishing an adventitious hydrocarbon peak. Peak locations and peak widths were obtained using a Shirley background subtraction and by fitting the data to mixed Gaussian–Lorentzian line shapes (CasaXPS).

# RESULTS AND DISCUSSION

**Operando Raman Spectroscopy.** Au-coated Ni-foam electrodes used for operando SERS were shown to provide exceptional enhancement of the Raman signal at low wavenumbers up to ~1500 cm<sup>-1</sup>. Electrodes were loaded into a specifically designed cell with a sapphire window providing an optical path to the Au surface. A DMSO-based electrolyte was used because of the kinetic advantage it offers over etherbased electrolytes.<sup>9,30</sup> Cells were discharged and charged in the range 2.0–3.8 V to limit degradation of the electrolyte and the unprotected Li anode.<sup>30,44</sup>

We show (Figure 1) time-resolved Raman spectra for a typical Au–Ni foam/DMSO cell during the (a) first discharge and charge (front to back), and (b) second discharge (back to front). The evolution of the various Raman peaks can be observed during discharge and charge cycles. Following each discharge or charge step, the open circuit potential Raman spectra are provided in red. Accompanying discharge and charge profiles are available in the Supporting Information (S2). Features corresponding to the gold electrode ( $\sim$ 500 cm<sup>-1</sup>) and DMSO (most significantly 670, 700, and 1055 cm<sup>-1</sup>) are evident along with a broad feature corresponding to the Ni foam substrate ( $\sim$ 1255 cm<sup>-1</sup>). A full table of Raman peak designations from literature and accompanying baseline spectra for aspurchased products are available in the Supporting Information (Table S1, Figure S3).

Changes in the intensity of Raman features relating to the Au surface, solvent, and Li-O<sub>2</sub> discharge products aids in understanding the interfacial phenomena. A high intensity of the Au electrode features specifically denotes the availability of that surface, whereas a low intensity suggests its coating with products. During discharging, the Au feature at  $\sim$ 500 cm<sup>-1</sup> increases in intensity (along with its resonant peak at ~1000 cm<sup>-1</sup>) around 2.65 V (Figure 1a blue spectrum), indicating a reorganization of the surface structure. We believe that this surface reorganization involves the reaction of an initial passivating film on the Au surface. We discovered evidence that this passivation layer may consist of a small amount of spontaneously generated superoxide (additional discussion below) and residual chlorides from the galvanic deposition procedure (Au–Cl feature at 275  $\text{cm}^{-1}$ ).<sup>40</sup> Also around 2.65 V, a Raman feature at 1140 cm<sup>-1</sup> is introduced, corresponding to the LiO<sub>2</sub> stoichiometry reported previously.<sup>25,45,46</sup> While we do not observe a peak at  $\sim 790$  cm<sup>-1</sup> which would suggest the presence of  $Li_2O_2$ , it is probable that the intensity of this feature is quite low, consistent with generally lower Raman intensities for ionic bonding. We observe no distinct features related to LiOH  $(331 \text{ cm}^{-1})$  or Li<sub>2</sub>CO<sub>3</sub>  $(1093 \text{ cm}^{-1})$  byproducts that have been reported as a consequence of impurities (i.e., water) or electrolyte decomposition. Several studies have observed Li<sub>2</sub>SO<sub>4</sub>

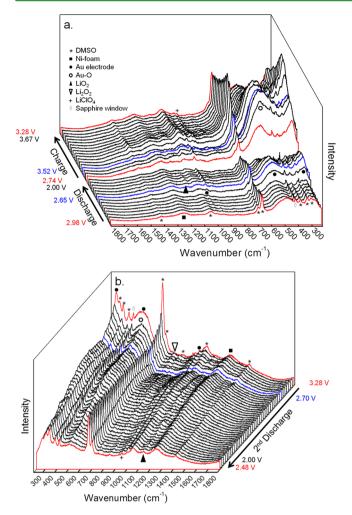


Figure 1. Operando Raman spectra of an Au-Ni foam electrode with a DMSO-based electrolyte. (a) first discharge and charge cycle (front to back) and (b) second discharge cycle (back to front). Red curves represent spectra taken at open circuit potential. Accompanying cycle profiles are available in the Supporting Information (S2).

or dimethyl sulfone  $(DMSO_2)$  by products from DMSO decomposition during cycling,<sup>47–50</sup> but we see no indication of these species (i.e.,  $1014 \text{ cm}^{-1}$  for  $\text{Li}_2\text{SO}_4$  or 501 and 706 cm<sup>-1</sup> for DMSO<sub>2</sub>) either during operando experiments or ex situ (see Table S1 in the Supporting Information). As the total experimental time is short ( $\sim$ 7 h) compared to other reports,<sup>50</sup> the formation of these side products is likely to be minimal. Also, the use of gold rather than high surface area carbon for the electrode has been shown to limit the decomposition of DMSO.<sup>47</sup> The fact that the Au electrode intensity is diminished toward the end of the discharge and accompanied by the growth of the LiO<sub>2</sub> feature indicates that the electrode surface is progressively covered with discharge products. A recent report illustrating the formation of Li2O2 by "late stage" disproportionation of LiO<sub>2</sub> provides a compelling rationale for the compaction of discharge products which leads to electrode deactivation.51

The application of anodic current to the Au electrode begins the charge cycle where we observe increases in intensity of the Au (~500 cm<sup>-1</sup>) and DMSO electrolyte features (most notably at 670 cm<sup>-1</sup>). This indicates that the discharge products are rapidly removed from the Au surface. The highest intensity from the Au surface is achieved by 3.52 V (Figure 1a blue

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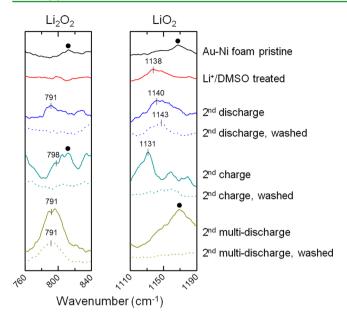
of electrons recovered from the electrode by this point, we estimate that ~0.6 nm of  $Li_2O_2$  or ~1.0 nm of  $LiO_2$  has been removed from the surface. (A description of this calculation and its assumptions is included in the Supporting Information.) Although this limited evolution accounts for only 6% of the products formed upon discharge, it appears to effectively free the Au active surface from product coatings. We note that an in situ TEM study previously showed similar phenomena in which evolution of the discharge products occurs preferentially at a CNT surface.<sup>20</sup> Over the course of the charge cycle, the LiO<sub>2</sub> feature at 1140 cm<sup>-1</sup> decreases in intensity indicating its removal from the Au surface. We suspect that evolution of bulk (non-superficial) discharge products occurs at higher potentials and constitutes the remainder of the charge cycle. This likely involves the migration or collapse of these products onto the electrode surface to facilitate oxygen evolution. While much previous work has focused on proving the generation and evolution of Li<sub>2</sub>O<sub>2</sub>, our operando data suggest that LiO<sub>2</sub> is not merely an intermediate, but a product that can be reversibly formed and evolved upon cycling. We speculate that any nonoxidized discharge products are largely disconnected from the electrode surface-a hypothesis supported by ex situ Raman and TEM characterization (see below).

A second discharge was conducted to observe the Au surface upon continued cycling (Figure 1b). A decrease in the intensity of Au features is once again observed along with a decrease in the DMSO signal, both indicating Au surface coating. We find that the DMSO intensities are relatively stable following an initial peak drop, which may indicate electrolyte trapped within the interfacial discharge product. Most surprisingly, we observe a feature at 791 cm<sup>-1</sup> corresponding to Li<sub>2</sub>O<sub>2</sub> in the initial stages of discharge. This feature later disappears as the LiO<sub>2</sub> feature at  $\sim$ 1140 cm<sup>-1</sup> increases and persists through the remainder of the discharge cycle. This result indicates that Li<sub>2</sub>O<sub>2</sub> is formed in the first discharge cycle, and that residual Li<sub>2</sub>O<sub>2</sub> not evolved during charging is in close proximity to the electrode surface at the start of the second discharge. As the new product layer forms at the Au interface, residual Li<sub>2</sub>O<sub>2</sub> is pushed further away and its signal is lost. We conclude that discharge products disconnected from the surface during charging persist upon repeated cycles.

We also observe a shift in the peak position of the  $LiO_2$ feature over the course of the discharge reaction. By plotting the peak wavenumber against the potential, a peak shift from ~1138 to ~1148 cm<sup>-1</sup> is evident (S4), indicating a stiffening or shortening of the O-O superoxide bond. Compressive strain caused by the applied electric field and the precipitation of new products may account for this shift, but additional studies are necessary.

Raman spectroscopy using a TEGDME-based electrolyte shows similar Au surface coating and evolution (S5). We find that  $\sim 6\%$  of the discharge products are evolved by 3.36 V upon charging, corresponding to the highest intensity of the 500 cm<sup>-1</sup> Au feature, similar to the result with a DMSO electrolyte. We conclude that surface product evolution is an effect of intimate contact between the discharge product and the Au surface, not merely an effect of the electrolyte selection.

Product Morphology. The morphology and stoichiometry of the discharge and charge species and their proximity to the electrode surface is important to discern because of its effect on reversibility. Full characterization of the as-synthesized Au electrode by Raman spectroscopy, XPS, and TEM imaging is presented in Figures 2, 3a, and 4a indicating only a pristine Au



**Figure 2.** Ex situ Raman spectra of Au–Ni foam electrodes at different states of charge. Marked peaks denote the presence of  $\text{Li}_2\text{O}_2$ ,  $\text{LiO}_2$ , or Au–Ni foam electrode features ( $\bullet$ ). Dotted lines represent spectra after washing electrodes with anhydrous DMSO.

surface (with typical adventitious hydrocarbons present in the XPS spectrum). Figure 2 also presents ex situ Raman spectra of Au electrodes following the second discharge and charge cycles. The second discharge and charge cycles were chosen to stress the importance of reversibility on Li-O2 catalyst function and to eliminate any effects from the previously described initial passivation layer. Both Li<sub>2</sub>O<sub>2</sub> and LiO<sub>2</sub> are detected on the discharged electrode, confirming that Li<sub>2</sub>O<sub>2</sub> is present, albeit difficult to detect in our operando studies. Li2O2 was also detected by XPS (Figure 3c) in the O 1s spectrum (~531.4  $eV^{23,24}$ ) despite some contamination by CO<sub>2</sub> during sample transfer to UHV. The presence of a small feature in the discharged electrode O 1s spectrum corresponding to under-coordinated oxygen (i.e.,  $O_2^{-2}$ ,  $O^-$ ) at ~530.2 eV<sup>23,52</sup> is of particular interest, supporting the longer-term stability of "intermediate" species. There is some indication in the literature that two overlapping features centered at 531.5 eV (deconvoluted as 530.9 and 532.1 eV) would indicate the presence of superoxide, 53,54 but this would be difficult to distinguish from the rather broad feature we attribute to Li<sub>2</sub>O<sub>2</sub>. The presence of small amounts of LiOH (~531.0 eV), as a product of DMSO decomposition, or Li<sub>2</sub>O (528.6 eV) cannot be entirely eliminated by XPS<sup>55</sup> but neither were detected by ex situ Raman spectroscopy. Together, Raman and XPS provide complementary information that the composition of discharge species is likely a mixture of Li<sub>2</sub>O<sub>2</sub> and LiO<sub>2</sub>.

Ex situ Raman spectroscopy on the charged electrode similarly indicates the presence of  $\text{Li}_2\text{O}_2$  and  $\text{LiO}_2$  products (Figure 2). A LiO<sub>2</sub> feature with a wavenumber of 1131 cm<sup>-1</sup> (vs 1140 cm<sup>-1</sup> for the discharge) suggests a softening or lengthening of the O–O superoxide bond due to charging, which may support a less dense or relaxed product morphology. XPS data for the charged sample (Figure 3d) shows the presence of  $\text{Li}_2\text{O}_2$  (~531.3 eV) but no under-coordinated oxygen, indicating that these species were either evolved at low overpotentials or remain below the penetration depth of XPS (i.e., closer to the Au surface).

Both discharged and charged electrodes were washed in anhydrous DMSO to remove non-surface species and analyzed

once again by Raman spectroscopy (Figure 2 dotted lines). After washing, the Li<sub>2</sub>O<sub>2</sub> Raman feature from the discharged sample is eliminated, suggesting that this species is weakly connected to the electrode surface. LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> are also removed from the charged electrode by washing, supporting the theory that charge products are disconnected from the electrode by preferential surface oxidation. Data from Li<sup>+</sup>/DMSO treated and "multi-discharged" electrodes, a particular case of overdischarge, are discussed alongside EIS results below.

Ex situ TEM images of the Au electrode at different states of charge show that discharge products precipitate directly at the electrode interface (Figure 4). The formation of an amorphous layer of surface products during the second discharge (2.7 nm average thickness) is evident in Figure 4b. Following the second charge (Figure 4c), the average thickness of the interfacial layer is 1.6 nm, a reduction of ~1.1 nm. This lends credence to our calculation that ~1.0 nm of products is oxidized in the initial part of the charge cycle. We note that the uniform coating of charge products in Figure 4c is indicative of its morphology only after exposure to the 200 kV TEM beam. In fact, we observe that the original charge product morphology is much thicker (up to 80 nm from the surface) and porous but is rapidly compressed down to just a few nanometers of dense product due to evaporation of residual trapped electrolyte. Time-lapse TEM images illustrating this phenomenon are available in the Supporting Information (S6). The original morphology of the charge product was also captured rapidly before its collapse by SEM (S7c). X-ray diffraction patterns of discharged and charged electrodes exhibited no crystalline Li<sub>2</sub>O<sub>2</sub> peaks, indicating that the discharge and charge species are largely amorphous in nature (S8), consistent with TEM imaging.

In Situ Impedance Spectroscopy. To better understand the mechanism of discharge and charge at the Au interface, we employed in situ electrochemical impedance spectroscopy to observe changes in electrode resistance. Impedance was measured over a range of frequencies from 105-0.05 Hz in progression from 3.0 to 2.0 V for the discharge and 3.0 to 3.8 V for the charge with 50 mV steps. The potential-dependent real impedance was plotted for a range of frequencies (Figure 5). Raw data is provided rather than a circuit model fit because we believe it is less prone to interpretive error. A Bode plot shows that phase shifts over the course of a discharge occur in three main frequency ranges and are related to distinct processes in the Li– $O_2$  cell (Figure 5a). The high-frequency range ( $10^3$ –  $10^4$  Hz) is thought to refer to fast ion transfer corresponding to the Li anode interface while the mid- and low-frequency ranges (10-100 Hz and <1 Hz, respectively) correspond to phenomena at the oxygen electrode interface.<sup>56</sup> The midfrequency range is believed to correspond to the formation of "intermediate" products and the low-frequency range to more stable products. The relation of frequencybased responses in EIS to the transport of various oxygen intermediates has been shown in a similar fashion for solid oxide fuel cells.<sup>57,58</sup> Relating the frequency responses to the generally accepted mechanism for discharge found in Scheme 1, we suggest that the mid-frequency range relates to the formation and evolution of LiO<sub>2</sub> and the low-frequency range relates to the same for Li<sub>2</sub>O<sub>2</sub>. For clarity, the mid- and low-frequency ranges are plotted separately with log scales and regions of interest are highlighted (Figure 5b-e). A selected high-frequency plot relating to the Li anode interfacial resistance is provided in the Supporting Information (S9) and demonstrates a general increase in resistance upon repeated cycling.

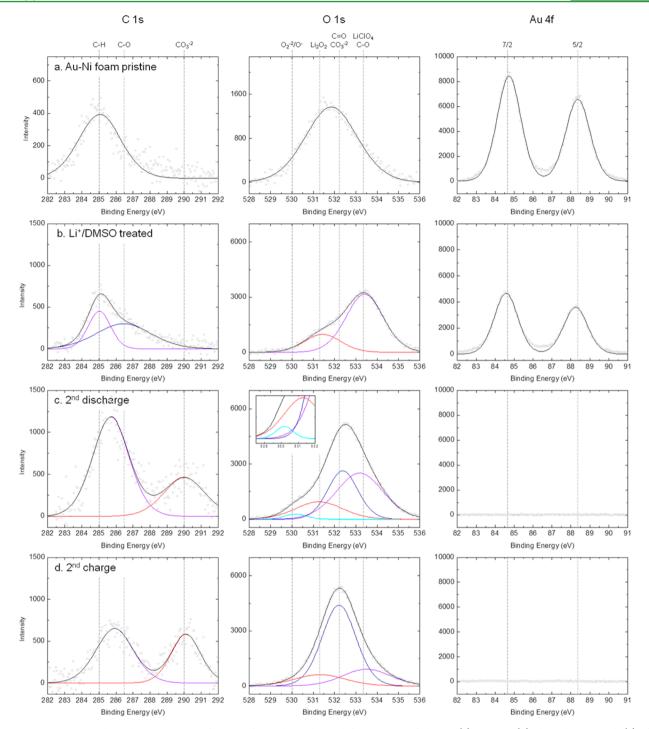


Figure 3. Ex situ X-ray photoelectron spectra of Au–Ni foam electrodes at different states of charge: (a) pristine, (b) electrolyte treated, (c) after discharge, and (d) after charge. Sample data fitted with Gaussian–Lorentzian line shapes (black line represents envelope fit).  $CO_3^{-2}$  and C=O attributions are likely the result of exposure to  $CO_2$  during sample transfer.

The potential-dependent resistance data show distinguishing features related to the precipitation of "intermediate" and stable discharge product species (Figure 5b, c). For discharge, we highlight an increase in resistance in the mid-frequency range from 2.95 to 2.5 V followed by an increase in resistance in the low-frequency range from 2.7 to 2.4 V. This phenomena parallels the accepted mechanism for discharge whereby  $\text{LiO}_2$  is first formed by reactions (1) and (2), then further reduced to  $\text{Li}_2\text{O}_2$  by reactions (3a) or (3b). For consistency, the second cycle resistances (S10) were compared to those for the first cycle and show similar behavior. To test the validity of our

assumptions, a cell was also subjected to the same experimental conditions under Ar atmosphere (without  $O_2$  purging). EIS data for this cell (S11) show no significant response during discharge, proving that the variation in resistance is directly related to the migration and/or reaction of oxygen species.

Our combined Raman and EIS results suggest that the initial discharge reactions (1) and (2) in Scheme 1 should be understood as surface reactions in which oxygen adsorbs first on the Au surface and then reacts with free Li<sup>+</sup> ions to form superficial LiO<sub>2</sub>. <sup>59,60</sup> This is supported by the appearance of an Au–O feature related to surface adsorbed superoxide (481 cm<sup>-1</sup>) in

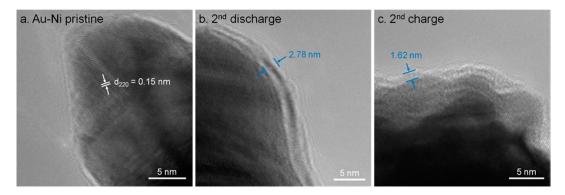
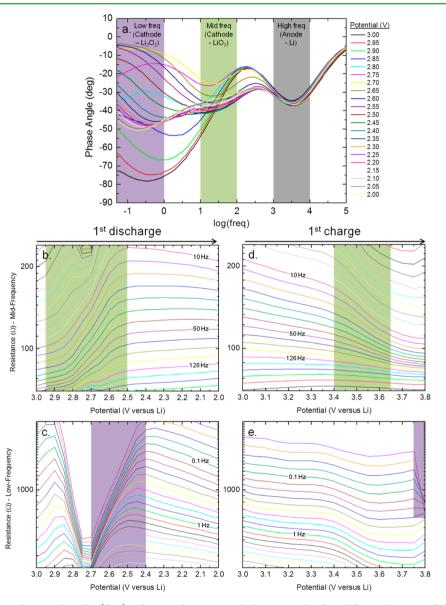


Figure 4. TEM images of the Au electrode surface: (a) pristine, (b) after discharge, and (c) after charge. Lattice spacing for Au is provided in a.



**Figure 5.** (a) Representative phase angle vs log(freq) indicating the regions which are correlated to different elements of the  $\text{Li}-O_2$  cell. Potential dependent real resistance for (b, c) first discharge and (d, e) first charge cycle of an Au–Ni foam/DMSO cell where each line charts the resistance at a specific frequency. (b, d) mid-frequency range corresponding to resistance from  $\text{Li}O_{2^{j}}$  (c, e) low-frequency range corresponding to resistance from  $\text{Li}_{2^{j}}$ .

the second discharge Raman spectra at 2.73 V (Figure 1b). (The Au–O Raman peak is also apparent during the first charge cycle as  $O_2$  is evolved from the surface products.)

The generation of adsorbed superoxide is likely the rate limiting reaction in accordance with the generally accepted mechanism. To evaluate the formation of the adsorbed superoxide species,

# Scheme 1. 15,25,45

Oxygen Reduction Reactions	
$O_2 + e^- \rightarrow O_2^-$	(1)
$O_2^- + Li^+ \rightarrow LiO_2$	(2)
$2\text{LiO}_2 \rightarrow \text{Li}_2\text{O}_2 + \text{O}_2$	(3a)
$LiO_2 + Li^+ + e^- \rightarrow Li_2O_2$	(3b)
Oxygen Evolution Reactions	
$LiO_2 \rightarrow Li^+ + O_2 + e^-$	(5)
$Li_2O_2 \rightarrow 2Li^+ + O_2 + 2e^-$	(6)

we immersed a pristine Au–Ni foam electrode in a standard DMSO electrolyte with 0.1 M LiClO<sub>4</sub> for 10 min and dried it in low vacuum. The Raman spectra for this Li<sup>+</sup>/DMSO treated electrode (Figure 2) shows a small feature at 1138 cm<sup>-1</sup> indicating the presence of superficial LiO<sub>2</sub>. XPS spectra for this sample (Figure 3b) under UHV conditions show the presence of Li<sub>2</sub>O<sub>2</sub> (~531.4 eV), the expected product of LiO<sub>2</sub> disproportionation, or LiO<sub>2</sub>, previously discussed as a possible deconvolution of the same feature. These data support the spontaneous reaction of residual adsorbed oxygen on Au with Li<sup>+</sup> ions in solution to form a thin passivation layer. Speculative reasoning for this phenomenon may be provided by studies on the interaction of O<sub>2</sub> with neutral Au clusters that demonstrate the spontaneous formation of superoxo-like states,<sup>61,62</sup> but additional in-depth studies are necessary.

EIS results for the first charge cycle (Figure 5d, e) show a subtle low-frequency decrease in resistance from 3.1 to 3.2 V, as well as a more gradual mid-frequency decrease in resistance from 3.0 to 3.4 V. We believe these are attributable to oxygen evolution from the surface of Li<sub>2</sub>O<sub>2</sub> species<sup>12,16,45</sup> and from a layer of LiO<sub>2</sub> on the Au surface, respectively. A mid-frequency response in the range 3.4-3.65 V suggests bulk LiO<sub>2</sub> evolution (reaction (5) in Scheme 1) occurs preferentially at these potentials. Our finding that ~1.0 nm of products is removed from the Au surface at low overpotentials is consistent with this result. Bulk evolution of  $Li_2O_2$  by reaction (6) is indicated by a decrease in resistance above 3.75 V in the low-frequency regime. This is accompanied by an increase in mid-frequency resistance suggesting that some Li2O2 may first decompose to LiO<sub>2</sub>. Our selection of a 3.8 V cutoff potential explains why some Li<sub>2</sub>O<sub>2</sub> remains near the electrode surface following charging.

To support our EIS data, we subjected cells with Au electrodes to standard high rate cycling and "multi-discharge" cycling. The multi-discharge cycling (similar to over-discharge, but within reasonable operating potentials) consisted of 10 discharge and rest periods that were then followed by a charge cycle and another 10 discharge and rest periods (S12a). The derivative capacity (dq/dt) of the charge, much like a cyclic voltammogram, can be correlated with the character of the discharge products formed in each case (S12b). Following a standard discharge, charge features are seen at 3.15, 3.55, and >3.8 V. After multidischarge, the charge features are seen only at 3.55 and 3.75 V. The disappearance of the 3.15 V feature and subsequent increase in intensity of the 3.75 V feature with over-discharge indicates the growth and compaction of bulk Li<sub>2</sub>O<sub>2</sub> on the Au surface. The fact that each subsequent discharge has a lower potential in the multi-discharge case, supports the notion that additional bulk Li<sub>2</sub>O<sub>2</sub> is formed at low potentials<sup>16</sup> and evolved at high potentials (>3.75 V). This is confirmed by a comparison of the ex situ Raman spectra of standard and multi-discharged electrodes (Figure 2) in which the  $Li_2O_2$  peak is more prevalent

for the multi-discharged electrode. SEM images of the multidischarged electrode surface also show crystalline  $Li_2O_2$  particles and a thicker product layer coating the Au surface (S7d).

**Interfacial Discharge/Charge Scheme.** Coupling operando Raman spectroscopy data with in situ EIS and ex situ characterization we propose the discharge/charge scheme seen in Figure 6. Upon discharging of the cell, LiO<sub>2</sub> species precipitate

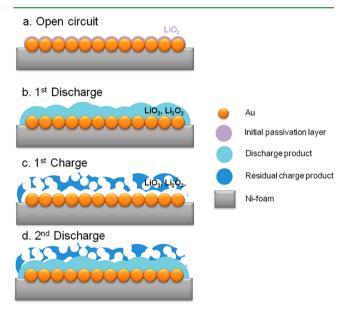


Figure 6. Schematic of an Au–Ni foam electrode surface at different states of charge: (a) open circuit, (b) after discharge, (c) after charge, and (d) after second discharge.

onto the Au surface. A spontaneously formed passivation layer of LiO<sub>2</sub> must be reduced first before further reaction. Discharge reactions are mediated by oxygen adsorption in the form of superoxide followed by spontaneous reaction with free Li<sup>+</sup> ions. Over the course of the discharge, superficial LiO<sub>2</sub> may be further reduced to Li<sub>2</sub>O<sub>2</sub> until a sufficiently thick coating of discharge products envelops the Au surface, rendering it inactive. Upon charging, superficial LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> ( $\sim$ 1.0 nm) are preferentially oxidized at low overpotentials leaving a large amount of loosely connected residual charge species. In the subsequent discharge cycle, additional LiO<sub>2</sub> and Li<sub>2</sub>O<sub>2</sub> are formed at free Au surface sites. As the new layer of discharge products grows (limited by access to the Au surface), residual charge species are pushed further away from the electrode. With each additional cycle, access to the catalyst surface becomes progressively more blocked, resulting in its nearly complete deactivation. In this repeated precipitation and surface-limited oxidation process, we establish a tragically common deactivation mechanism for catalysts in  $Li-O_2$  cells.

# CONCLUSIONS

This work represents the first truly operando observation of reaction product flux at the catalyst interface of a  $\text{Li}-\text{O}_2$  electrode by SERS and EIS. Using these methods, we find that  $\text{LiO}_2$  forms during discharge and can be further reduced to  $\text{Li}_2\text{O}_2$  in accordance with the generally accepted mechanism. However, during practical cycling, interfacial  $\text{LiO}_2$  is seen to be stable and reversible as it persists through the discharge cycle and is evolved during charging. There are also indications that a passivating layer of  $\text{LiO}_2$  forms spontaneously on the Au electrode surface when merely contacted with electrolyte—an

important development when considering the phenomena of the first cycle vs that of subsequent cycles. We observe surface selective oxidation during charging which results in an abundance of residual species in poor contact with the surface. These results provide a rationale for the poor reversibility seen with many metal catalyst systems and may explain the benefit of nanoporous catalyst electrode morphologies which have shown impressive cyclability. Additionally, in situ EIS results confirm the mechanism of formation and oxidation of  $\text{LiO}_2$  at reduced overpotentials vs  $\text{Li}_2\text{O}_2$  by analyzing frequency and potentialdependent real resistance. Using these techniques to focus on phenomena at the electrode interface, our understanding of  $\text{Li}-\text{O}_2$  interfacial reactions and degradation mechanisms can be further improved.

### ASSOCIATED CONTENT

#### **S** Supporting Information

Description of product thickness calculations, Raman peak references and product spectra, supporting ex situ and in situ spectroscopy for cells with DMSO and TEGDME electrolytes (along with supplemental discussion), and additional microscopy showing product morphology. This material is available free of charge via the Internet at http://pubs.acs.org.

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#### Notes

The authors declare no competing financial interest.

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